# WNRAYSMAN

BROWN RAYSMAN MILLSTEIN FELDER & STEINERL

## FACSIMILE COVER SHEET

RECEIVED **CENTRAL FAX CENTER** JUL 16 2004

From:

1.

**Direct Dial:** 

Brooke W. Quist 310-712-8319

Date:

Client/Matter #:

July 16, 2004 10416/22

## PLEASE DELIVER AS SOON AS POSSIBLE TO:

Recipient Art Unit 2831 Company **USPTO** 

Fax No. 703-872-9318 Phone No.

Total number of pages including this page: 12. If you do not receive all the pages, please call (310) 712-8300.

#### Message:

Please see the attached correspondence for USSN 10/667,583.

Please Note: the information contained in this facsimile message is privileged and confidential, and is intended only for use of the individual named above and others who have been specifically authorized to receive it. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occur with transmission, please notify sender or the mall room by telephone: (310) 712-8300. Thank You.

## BROWN RAYSMAN MILLSTEIN FELDER & STEINER LLP

PTD/SB/97 (08-03)

Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless It contains a valid OMB control number.

## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on July 16, 2004.

Date

Brooke W. Quist Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

Facsimile Cover Sheet; Transmittal Form (PTO/SB/21); Amendment and Response to Office Action

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Comfidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/21 (04-04)

Approved for use through 07/31/2006, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filling)			Application Number	10/667,	10/667,583	
			Filing Date	09/22/2	09/22/2003	
			First Named Inventor	Katsuhil	Katsuhiko Hayashi	
			Art Unit	2831	2831	
			Examiner Name Ngo, Hung V.		ng V.	
Total Number of Pages in This Submission			Attorney Docket Number	10416/2	2	
ENCLOSURES (check all that apply)						
Fee Transmittel Form		☐ Drawing(s)		After Allowance Communication to Technology Center (TC)		
Fee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / Reply		Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final		Petition to Convert to a Provisional Application		Propr	ietary Information	
Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence Address		Status	s Letter	
Extension of Time Request		Terminal Disclaimer			r Enclosure(s) e Identify below):	
Express Abandonment Request		Request for Refund  CD, Number of CD(s)			acsimile Cover Sheet ertificate of Transmission	
Information Disclosure Statement						
Certified Copy of Priority Document(s)		Remarks				
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Bro Individual name	Broake W. Quist					
Signature Morth W. Land						
Date July 16, 2006						
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.						
Typed or printed name Brooke W. Quist						
Signature		hook	W. Louit	Date	July 16, 2004	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the includest case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT ATTORNEY DOCKET NO. 10416/22

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**OFFICIAL** 

In re Application of:

Katsuhiko Hayashi

Serial No.:

10/667,583

Examiner: Ngo, Hung V.

RECEIVED
CENTRAL FAX CENTER

Filed:

September 22, 2003

Group Art Unit: 2831

JUL 1 6 2004

Title:

SEMICONDUCTOR LASER METHOD OF PRODUCING SAME

AND EVANESCENT OPTICAL HEAD USING THE SAME

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

#### AMENDMENT AND RESPONSE TO OFFICE ACTION

Sir:

This amendment is responsive to the Office action of April 16, 2004, and is timely filed.

#### INTRODUCTORY COMMENTS

Claims 7-28 are pending in the present application; however, claims 7-8 and 24-28 have been withdrawn from consideration. Claims 9-10, 12-13, and 21-23 stand rejected under 35 U.S.C. § 102(b) as being anticipated by Berndlmaier et al. (U.S. Patent No. 4,323,914). Claim 11 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Berndlmaier et al. (U.S. Patent No. 4,323,914) in view of Daves et al. (U.S. Patent No. 6,091,603). Claims 14-16 have been indicated by the Examiner to be directed towards allowable subject matter, and would be allowed if rewritten in independent form.

Claims 9, 12, 14, 15, and 17 have been amended. No new claims have been added. No claims have been deleted. Applicant respectfully contends that the differences between the claimed invention and the prior art are such that the claimed invention is patentably distinct over the prior art.